

Simple project document template used for obtaining TRAC 3 Category II funding from CRU as per TRAC3 Guidelines.

1. COVER PAGE

Country: Guatemala

Implementing parties

UNDP

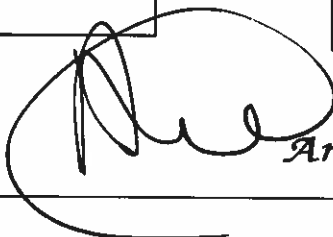
Partners:

Presidential Commission for Dialogue- CPD and Municipality of San Juan Sacatepéquez.

Programme Period: 2015-2019
Programme Component:
Project Title: Preventing violence and conflict through a multidimensional and holistic approach at the local level
Project ID: 000113508 output 0011631
Project Duration: August- December2018
Management Arrangement: DIM /Initiation Plan

Total Budget	US\$ 300,000
Allocated resources:	_____
• Government	_____
• Regular	04120 USD 100,000.00
	04130 USD 200,000.00
• Other:	
○ Donor	_____
○ Donor	_____
○ Donor	_____
• In kind contributions	_____
Unfunded budget:	_____

Agreed by (UNDP): _____



Ana Maria Díaz
Directora de País
PNUD Guatemala

2. SITUATION ANALYSIS

Guatemala has made important progress in the last two decades in terms of democratic governance, overcoming an internal armed conflict and building institutions towards the consolidation of peace. However, the high levels of poverty, the unequal access to basic services and economic opportunities, the weak institutional capacities to respond to social demands, and the increased presence of organized crime activities, have provided a favorable context for the development of illicit economies and an increase in violence and insecurity.

Violence prevention and fight against crime continues to be one of the main challenges for achieving sustainable development in Guatemala. Despite the sustained reduction of the homicide rate since 2009, in the last 5 years 24,000 people were victims of a homicide, and crimes such as violence against women and extortions are on the rise. Women, children, migrant population and indigenous peoples are particular vulnerable to different types of violence.

According to a recent study by the Rafael Landivar University, the displacement of people, communities and entire families in Guatemala occurs for three reasons: (1) organized crime and gangs, (2) large scale energy and infrastructure projects and the expansion of agro-industry; and (3) natural disasters.

Public funding to violence prevention and citizen security remain insufficient *vis a vis* the challenge. A coordinated and multi-sectoral approach is needed to escalate impact of interventions and effectively reduce violence in the most affected areas.

This initiative proposes to prioritize the municipality of San Juan de Sacatepéquez based on an initial conflict/violence assessment undertaken by UNDP and a government request to support a local process to prevent violence and further escalation of conflict in this territory.

According to the Municipal Priority Index (MPI), San Juan de Sacatepéquez currently ranks among the top 20 most violent municipalities in the country as shown in the following table.

Municipio	MPI Índice	Víctimas de Homicidios ¹	Lesionados	Personas desaparecidas	Víctimas VCM	Víctimas Violación	Extorsiones	Armas Incautadas	Privados de libertad	Embrazo adolescente	Deserción escolar secundaria
San Juan Sacatepéquez	19	69	94	36	854	4	65	27	263	226	989

Additionally, there is a social conflict in San Juan Sacatepéquez related to the construction of a cement factory project (2008) and the construction of a major road known as the anillo regional since 2010. Community leaders from 10 communities of the territory often organize demonstrations in order to reject and stop the developing of these projects. Little or nonexistent presence of public institutions allowed for the organization of illegal community armed groups since 2007. Since then there have been several acts of violence including two massacres. In response, the State has issued two emergency states to regain control in the territory.

The current situation in the territory is tense, there are several actors constantly promoting protests and road blocks near the Anillo Regional project. There was also a recent violent eviction of the blocked area from members of other communities. There is a permanent risk of conflict escalation through violent

¹ Information corresponds to 2017 data except school drop-out which corresponds to 2016.

actions in the territory. The Human rights Ombudsman Office promoted a dialogue process that was rejected by the resisting community leaders. In contrast, there are also community leaders that do not oppose to both projects and are demanding a sustainable solution to this territory.

Likewise, there are other municipalities with similar levels of violence that could be included in this intervention given the scalability in the use of the different tools to be developed by this initiative.

3. PROJECT OUTPUTS AND ACTIVITIES

Output 1. Assessment undertaken identifying root causes of conflict and development accelerating triggers.

- 1.1 Undertake a stakeholder analysis in the prioritized municipality and build a strategic partnership with local and national institutions to champion this initiative.
- 1.2 Undertake a context/conflict analysis through participatory workshops with various local actors (local institutions, development actors, human rights organizations, private sector, women, indigenous people and youth).
- 1.3 Design and implement country-tailored tools to apply UNDP' SDGs hotspots approach with a violence prevention lens, for trending, mapping and dash-boarding key multidimensional aspects related to violence with a SDGs oriented approach, and facilitating evidence-based community prioritization.
- 1.4. Analysis of violence prevention/social protection institutional offer, and design a mechanism to quickly respond to needs identified (including via private sector), and trigger SDGs acceleration strategies.

Output 2. A multi-stakeholder platform has been supported to advance and integrate violence prevention initiatives and promote SDG acceleration.

- 2.1 Design a country support platform to identify and coordinate violence prevention initiatives integrating an SDG acceleration approach.
- 2.2 Support the start-up implementation of a country support platform, and develop an initial action plan through a multi-stakeholder dialogue process.
- 2.3 Design a system to allow real time monitoring of the interventions that are taking place, supporting transparency and social audit and allowing for adjustments in the interventions if needed.

4. MANAGEMENT ARRANGEMENTS

The project will be implemented under the direct implementation modality, therefore, it will be managed according to UNDP provisions. However, the activities will be executed and coordinated, with the Presidential Dialogue Commission (CPD), which has been designated by the President of the Republic to lead a government intervention in the area. UNDP and CPD will closely coordinate with local authorities during all phases of this intervention.

The implementation of this project, including the purchase of goods (computer equipment, software, among others) and services (professional consulting services, editorial production services, hotel and food services, among others), will be adjusted to the UNDP guidelines and standards for direct implementation projects (DIM) and will be covered with project funds in accordance with the UNDP cost recovery policy. The project will be audited according to UNDP rules and procedures.

OUTPUTS and indicators including annual targets	List all activities including M&E to be undertaken during the year towards stated CP outputs	Q1	Q2	Q3	Q4	PARTY	Source of Funds	Budget Description	Amount
Output 1. Assessment undertaken identifying root causes of conflict and development accelerating triggers.	1.1 Undertake a stakeholder analysis in the prioritized municipality and build a strategic partnership with local and national institutions to champion this initiative.			X		UNDP	04120-00012	International Consultants	80,000.00
	1.2 Undertake a context/conflict analysis through participatory workshops with various local actors (local institutions, development actors, human rights organizations, private sector, women, indigenous people and youth).			X			04120-00012	Travel	20,000.00
	1.3 Design and implement country-tailored tools to apply UNDP' SDGs hotspots approach with a violence prevention lens, for trending, mapping and dash-boarding key multidimensional aspects related to violence with a SDGs oriented approach, and facilitating evidence-based community prioritization.			X			04130-00012	Supplies	5,000.00
	1.4. Analysis of violence prevention/social protection institutional offer, and design a mechanism to quickly respond to needs identified (including via private sector), and trigger SDGs acceleration strategies.			X			04130-00012	Equipment	5,000.00
Output 2. A multi-stakeholder platform has been supported to advance and integrate violence prevention initiatives and promote SDG acceleration.	2.1 Design a country support platform to identify and coordinate violence prevention initiatives integrating an SDG acceleration approach.				X	UNDP	04130-00012	Local Consultants	120,000.00
	2.2 Support the start-up implementation of a country support platform, and develop an initial action plan through a multi-stakeholder dialogue process.				X		04130-00012	Equipment	15,000.00
	2.3 Support strategic investments in catalytic violence prevention initiatives identified in the action plan.				X		04130-00012	Travel	20,000.00
	2.4 Design a system to allow real time monitoring of the interventions that are taking place, supporting transparency and social audit and allowing for adjustments in the interventions if needed.				X		04130-00012	Training	30,000.00
							04130-00012	Supplies	5,000.00

According to UNDP standard procedures, the equipment and goods acquired during the implementation of the Project will be owned by the UNDP or, if required, will be officially transferred to the implementing partners based on the regulations in effect for that purpose.

5. ANNUAL WORK PLAN BUDGET SHEET

Outputs and activities as described in section 3 above with budgetary requirements: printed from ATLAS.



Annual Work Plan

Guatemala - Guatemala City

Report Date: 17/8/2018

Project: 00113508

Project Title: Preventing Violence and Conflict at Local Level

Year: 2018

Output	Key Activities	Timeframe		Responsible Party	Planned Budget					
		Start	End		Fund	Donor	Budget Descr	Amount US\$		
0011631 Country support platform	Assessment of root causes	3/8/2018	31/1/2019	UNDP	04120	UNDP	71600	Travel	20,000.00	
				UNDP	04120	UNDP	71200	International Consultants	80,000.00	
				UNDP	04130	UNDP	72200	Equipment and Furniture	5,000.00	
				UNDP	04130	UNDP	72500	Supplies	5,000.00	
	Multi-stakeholder platform		3/8/2018	31/1/2019	UNDP	04130	UNDP	72500	Supplies	5,000.00
					UNDP	04130	UNDP	75700	Training, Workshops and Confe	30,000.00
					UNDP	04130	UNDP	72200	Equipment and Furniture	15,000.00
					UNDP	04130	UNDP	71300	Local Consultants	120,000.00
					UNDP	04130	UNDP	71600	Travel	20,000.00
TOTAL										
GRAND TOTAL										
300,000.00										



03 August 2018

*Empowered lives.
Resilient nations.*

Dear Ms. Arias,

I am pleased to inform you that we have approved USD 100,000 (One Hundred Thousand) to support the response to the chronic violence situation in Guatemala. In accordance with the TRAC_{1.1.3} guidelines, please note that these funds should be used to support coordination efforts, needs assessments and initiating early recovery frameworks. Funding will be made available from TRAC _{1.1.3}; (Response to Sudden Crises Category II Window of the TRAC _{1.1.3}, ATLAS fund code: 04120; donor code 00012).

We have established the authorized spending limit (ASL) in ATLAS to facilitate immediate response. Kindly note that the implementation of this allocation must strictly adhere to the established corporate policies and procedures as prescribed in the POPP, and you as the Head of the UNDP Country Office in Guatemala will be fully held accountable for the effective and prudent use of the funds allocated to your office under TRAC 1.1.3 for the intended purposes. The planned project activities as presented in the project document must be completed within 6 months of the allocation date.

Please do not hesitate to contact your Crisis Coordination Team for Africa, Latin America and the Caribbean at cru.coordination.alc@undp.org for any additional support you might require. We look forward to working with you and your team in supporting your office on crisis response.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Bettina Woll', is positioned above the typed name.

Bettina Woll
Officer-in-Charge
Crisis Response Unit

Ms. Rebeca Arias
UN Resident Coordinator
UNDP Resident Representative
UNDP Guatemala

cc: Mr. Lenni Montiel, Director a.i., RBLAC
Mr. Abdoulaye Mar Dieye, Assistant Administrator and Director, BPPS
Ms. Richard Barathe, Director Regional Hub, RBLAC
Ms. Ana Maria Diaz, Country Director, UNDP Guatemala
Mr. Jonas Mfouatie, Crisis Response Coordinator, Africa, Latin America and Caribbean Regions



03 August 2018

*Empowered lives.
Resilient nations.*

Dear Ms. Arias,

I am pleased to inform you that we have approved USD 200,000 (Two Hundred Thousand) to support the response to the chronic violence situation in Guatemala. In accordance with TRAC 1.1.3 guidelines, please note that these funds should be used to support immediate response activities and to prepare the ground for the recovery programme. Funding will be made available from TRAC 1.1.3; (Immediate Response to Sudden Crises Window of the TRAC 1.1.3, ATLAS fund code: 04130; donor code 00012).

We have established the authorized spending limit (ASL) in ATLAS to facilitate immediate response. Kindly note that the implementation of this allocation must strictly adhere to the established corporate policies and procedures as prescribed in the POPP, and you as the Head of the UNDP Country Office in Guatemala will be fully held accountable for the effective and prudent use of the funds allocated to your office under TRAC 1.1.3 for the intended purposes. The planned project activities as presented in the project document must be completed within 6 months of the allocation date.

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